

Regulation (EC) No. 1013/2006 of the European Parliament and of the Council of 14 June 2006

Amended by Regulation (EC) No. 660/2014 of the European Parliament and of the Council of 15 May 2014

## **ANNEX IX**

### **ADDITIONAL QUESTIONNAIRE FOR REPORTS BY MEMBER STATES PURSUANT TO ARTICLE 51(2)**

**REFERENCE YEAR:** 2021

**REPORTING COUNTRY:** Slovakia

Article 11(1)(a)	<p><b>Information on the measures taken to prohibit generally or partially shipments of waste between Member States</b></p> <p><b>In order to implement the principles of proximity, priority for recovery and self-sufficiency at Community and national levels in accordance with Directive 2006/12/EC</b></p> <p>Has this provision been applied? Yes No (please tick <i>✓</i> as appropriate) <input checked="" type="checkbox"/> <input type="checkbox"/></p> <p>If yes, please provide details of the measures taken:</p> <p>According to the Act No. 79/2015 Coll. on waste and on amendments to certain acts as amended, <b>Article 84</b></p> <p>(3) transboundary movement of waste from another Member State to the Slovak Republic and import of waste from a non-Member State to the Slovak Republic for the purpose of disposal it is prohibited, unless otherwise determined by an international treaty to which the Slovak Republic is party.</p> <p>(4) waste generated in the Slovak Republic is preferably to be disposed in the Slovak Republic.</p> <p>(5) It is prohibited to:</p> <p>a) to organize, mediate or otherwise engage in the transboundary shipment of waste in contravention of this Part of Act on Waste</p> <p>b) import/export/transit to/from/through third countries or import the waste to the territory of the Slovak Republic, transit of waste through the territory of the Slovak Republic or export of waste from the territory of the Slovak Republic contrary to this part of the law,</p> <p>c) carry out transboundary shipment of waste in contravention of the conditions laid down in the consents to the transboundary shipment of waste under this Part of the Act.</p> <p>According to the Act No. 79/2015 Coll. on waste and on amendments to certain acts as amended, <b>Article 86</b></p> <p>(1) the Ministry may raise objections (Articles 11 and 12 (EC) No. 1013/2006 as amended) to notifications on the transport of waste intended for disposal and to notifications on the transport of waste intended for recovery.</p> <p>(2) if the Ministry raises objections (Articles 11 and 12 (EC) No. 1013/2006 as amended), it shall start from the binding part of the Slovak Republic's programme.</p> <p>(3) the Ministry may prohibit the transboundary movement of waste if the notifier, recipient or person authorised to act in the name of the notifier or recipient taking part in said transboundary movement of waste has been lawfully</p> <p>a) Recognized as guilty of an offense or other administrative offense as a result of proceedings in breach of generally binding legislation and special regulations (Regulation (EC) No. 1013/2006 as amended, Regulation (EC) No. 1418/2007) in the field of</p>
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	<p>transboundary shipment of waste,  <b>b)</b> recognized guilty of an offense or other administrative offense committed in the last three years as a result of any other unlawful conduct in the field of waste management as referred to in point (a);  <b>c)</b> convicted of a criminal act against the environment within the past three years (§ 300 through 309 of the Criminal Code).</p> <p>Slovakia also applies Article 4 (9) of the Basel Convention.</p> <p>Additional remarks:</p> <p>-----</p> <p>-----</p> <p>-----</p> <p><b>Information on the measures taken to object systematically to shipments of waste between Member States</b>  <b>In order to implement the principles of proximity, priority for recovery and self-sufficiency at Community and national levels in accordance with Directive 2006/12/EC</b></p> <p>Has this provision been applied?      Yes      No  <i>(please tick ✓ as appropriate)</i>      <input checked="" type="checkbox"/>      <input type="checkbox"/></p> <p>If yes, please provide details of the measures taken:  According to the Act No. 79/2015 Coll. on waste and on amendments to certain acts as amended, <b>Article 86</b>  <b>(1)</b> the Ministry may raise objections (Articles 11 and 12 (EC) No. 1013/2006 as amended) to notifications on the transport of waste intended for disposal and to notifications on the transport of waste intended for recovery.  <b>(2)</b> if the Ministry raises objections (Articles 11 and 12 (EC) No. 1013/2006 as amended), it shall start from the binding part of the Slovak Republic's programme.  <b>(3)</b> the Ministry may prohibit the transboundary movement of waste if the notifier, recipient or person authorised to act in the name of the notifier or recipient taking part in said transboundary movement of waste has been lawfully  <b>a)</b> Recognized as guilty of an offense or other administrative offense as a result of proceedings in breach of generally binding legislation and special regulations (Regulation (EC) No. 1013/2006 as amended, Regulation (EC) No. 1418/2007) in the field of transboundary shipment of waste,  <b>b)</b> recognized guilty of an offense or other administrative offense committed in the last three years as a result of any other unlawful conduct in the field of waste management as referred to in point (a);  <b>c)</b> convicted of a criminal act against the environment within the past three years (§ 300 through 309 of the Criminal Code).</p> <p>Slovakia also applies Article 4 (9) of the Basel Convention.</p> <p>Additional remarks:</p> <p>-----</p> <p>-----</p> <p>-----</p> <p>-----</p>
Article 11(1)(e)	<p><b>Information on the prohibition of the import of waste</b></p> <p>Has this provision been applied?      Yes      No  <i>(please tick ✓ as appropriate)</i>      <input checked="" type="checkbox"/>      <input type="checkbox"/></p> <p>If yes, please provide details of the measures taken:  According to the Act No. 79/2015 Coll. on waste and on amendments to certain acts as amended, <b>Article 84</b></p>

	<p><b>(3)</b> transboundary movement of waste from another Member State to the Slovak Republic and import of waste from a non-Member State to the Slovak Republic for the purpose of disposal it is prohibited, unless otherwise determined by an international treaty to which the Slovak Republic is party.</p> <p><b>(5)</b> It is prohibited to:</p> <p><b>a)</b> to organize, mediate or otherwise engage in the transboundary shipment of waste in contravention of this Part of Act on Waste</p> <p><b>b)</b> import/export/transit to/from/through third countries or import the waste to the territory of the Slovak Republic, transit of waste through the territory of the Slovak Republic or export of waste from the territory of the Slovak Republic contrary to this part of the law,</p> <p><b>c)</b> carry out transboundary shipment of waste in contravention of the conditions laid down in the consents to the transboundary shipment of waste under this Part of the Act.</p> <p>Slovakia also applies Articles 4 (1), (9) of the Basel Convention. Principles of transboundary movements of waste are in compliance with objectives given in the Waste Management Programme of the Slovak Republic.</p>
Article 11(3)	<p><b>Information on exceptions to the implementation of the principle of proximity, priority for recovery and self-sufficiency</b></p> <p><b>In the case of hazardous waste produced in a Member State of dispatch in such a small quantity overall per year that the provision of new specialised disposal installations within that State would be uneconomic</b></p> <p>Have you asked any Member State to apply this exception?      Yes      No  <i>(please tick ✓ as appropriate)</i>      <input type="checkbox"/>      <input checked="" type="checkbox"/></p> <p>If yes, please complete Table 1 and give details below of any bilateral solution found pursuant to Article 11(3):</p> <p>-----</p> <p>-----</p> <p>-----</p> <p>-----</p> <p>Have you received any request from Member States to apply this exception?</p> <p><i>(please tick ✓ as appropriate)</i>      Yes      No  <input type="checkbox"/>      <input checked="" type="checkbox"/></p> <p>If yes, please complete Table 1 and give details below of any bilateral solution found pursuant to Article 11(3):</p> <p>-----</p> <p>-----</p> <p>-----</p> <p>-----</p>
Article 11(1)(g)	<p><b>Information on objections to planned shipments or disposal on the basis of their not being in accordance with Directive 2006/12/EC</b></p> <p>Has this provision been applied?      Yes      No  <i>(please tick ✓ as appropriate)</i>      <input type="checkbox"/>      <input checked="" type="checkbox"/></p> <p>If yes, please complete Table 2.</p>
Article 12(5)	<p><b>Information on objections to planned shipments or recovery on the basis of their not being in accordance with Article 12(1)(c)</b></p> <p>Has this provision been applied?      Yes      No  <i>(please tick ✓ as appropriate)</i>      <input type="checkbox"/>      <input checked="" type="checkbox"/></p> <p>If yes, please complete Table 3.</p>
Article 14	<p><b>Information on decisions by competent authorities having jurisdiction over specific recovery facilities to issue pre-consents to such facilities</b></p> <p>Has there been any case?      Yes      No  <i>(please tick ✓ as appropriate)</i>      <input type="checkbox"/>      <input checked="" type="checkbox"/></p> <p>If yes, please complete Table 4.</p>

Article 33	<p><b>Information on the Member States' system for the supervision and control of shipments of waste exclusively within their jurisdiction</b></p> <p>Is there a system for the supervision and control of shipments of waste within the jurisdiction? Yes No  <i>(please tick ✓ as appropriate)</i> <input checked="" type="checkbox"/> <input type="checkbox"/></p> <p>If there is such a system, do you apply the system provided for in Titles II and VII of the Regulation? Yes No  <i>(please tick ✓ as appropriate)</i> <input type="checkbox"/> <input checked="" type="checkbox"/></p> <p>If you apply a different system from that provided for in Titles II and VII of the Regulation, please give details of the system applied:</p> <p>The shipments of waste within the Slovak territory is regulated by certain Articles of the national Act No. 79/2015 Coll. on waste and on amendments to certain acts as amended, <b>Article 26</b> - Obligations relating to the transport of hazardous waste within the territory of the Slovak Republic</p> <p>(1) Anyone who has entered into a contract with a carrier which has as its object the transport of hazardous waste [§ 610 through 629 and § 638 through 641 of the Commercial Code (and § 765 through 771)] or undertakes the transport of hazardous waste using his or her own means of transport (hereinafter the “hazardous waste consignor”) shall</p> <p>a) ensure that hazardous waste is transported in compliance with this Act and, if a permit is required for the transport of hazardous waste under § 97(1)f), in compliance with this permit,</p> <p>b) use for the transport of hazardous waste only such means of transport that conform to the provisions of international agreements on the transport of dangerous goods [European Agreement concerning the International Carriage of Dangerous Goods by Road (ADR) (Implementing Decree of the Minister of Foreign Affairs No. 64/1987) as amended. Convention concerning International Carriage by Rail (COTIF) (Implementing Decree of the Minister of Foreign Affairs No. 8/1985). Amendments to the Regulation for the International Carriage of Hazardous Waste by Rail (RID) (Notification No. 15/2001 Coll.)]; if not undertaken by himself or herself, ensure that the transport is undertaken by a carrier authorised under specific regulations (For example Act No. 513/2009 on railroads and on amendments to certain acts, Act No. 56/2012 on road transport, as amended).</p> <p>(2) The hazardous waste consignor and the person to whom the hazardous waste is consigned (hereinafter the “hazardous waste consignee”) shall</p> <p>a) keep and retain records of the hazardous waste transported,</p> <p>b) report the required information from the records under a) to the district office competent according to the place where the hazardous waste is loaded and the place where it is unloaded; if the permit for the transport of hazardous waste has been granted by the district office in the regional capital, this information shall also be reported to this office,</p> <p>c) enable state waste management system supervisory authorities (§ 112) to inspect the waste management practices in the course of transport; at their request, present the relevant documentation (for example Act No. 56/2012 Coll. as amended) and provide true and complete information relating to the waste management system,</p> <p>d) carry out any remedial measures imposed by state waste management system supervisory authorities [§ 116 (3)].</p> <p>(3) When transporting hazardous waste, the hazardous waste consignors, hazardous waste consignees and carriers shall sign the hazardous waste accompanying sheet.</p> <p>(4) Hazardous waste consignors shall send a photocopy of the hazardous waste accompanying sheet to the district office competent according to the place where the hazardous waste is loaded and the place where it is unloaded; if the permit for the transport of hazardous waste has been granted by the district office in the regional capital, the photocopy shall also be sent to this office.</p> <p>(5) Hazardous waste consignees shall send the hazardous waste accompanying sheet signed in accordance with paragraph 3 to the hazardous waste consignor, the district office competent according to the place where the hazardous waste is loaded and the place where</p>
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	<p>it is unloaded; if the permit for the transport of hazardous waste has been granted by the district office in the regional capital, the accompanying sheet shall also be sent to this office.</p> <p><b>(6)</b> Carrier of waste, nor consignor nor consignee of hazardous waste, is obliged to keep documents accompanying the hazardous waste.</p>
Article 24 and Article 50(1)	<p>Information on illegal shipments of waste</p> <p>Has there been any case?                      Yes                      No          (please tick ✓ as appropriate)                      <input checked="" type="checkbox"/>                      <input type="checkbox"/></p> <p>If yes, please complete Table 5.</p> <p>Please provide information on how illegal shipments of waste are prevented, detected and penalised under national legislation:</p> <p>According to the Act No. 79/2015 Coll. on waste and on amendments to certain acts as amended, <b>Article 106</b> the inspectorate</p> <p><b>a)</b> is a waste management administrative authority (§ 112),</p> <p><b>c)</b> decides in contested cases on whether a given good constitutes waste in the case of transboundary transport,</p> <p><b>d)</b> is authorised to inspect documents in the field of transboundary waste movement pursuant separate legislation [Regulation (EC) No. 1013/2006 as amended. Regulation (EC) No. 1418/2007 of 29 November 2007 (OJ L 316, 4 December 2007), as amended] and pursuant to this Act, conduct physical inspections of waste, and take and analyse waste samples at the place of origin of the waste, at the notifier or recipient of the waste, at border crossings and in the entire territory of the Slovak Republic.</p> <p><b>e)</b> monitors transboundary shipment of suspected EEE and calls for the submission of documents pursuant to Article 88 (2),</p> <p><b>g)</b> monitors transboundary shipment of used batteries and accumulators not clasified as waste according Article 42 (6) and calls for the submission of documents pursuant to Article 88a (2) letter f).</p> <p>According to the Act No. 79/2015 Coll. on waste and on amendments to certain acts as amended, <b>Article 111</b></p> <p><b>(1)</b> In cases of transboundary waste movement by road, the customs authority and Customs Criminal Office verify whether:</p> <p><b>a)</b> the waste is furnished with the documents pursuant to separate legislation [Regulation (EC) No. 1013/2006 as amended. Regulation (EC) No. 1418/2007 of 29 November 2007 (OJ L 316, 4 December 2007), as amended],</p> <p><b>b)</b> transported goods which are not accompanied by the documents required pursuant to the special regulations [Regulation (EC) No. 1013/2006 as amended. Regulation (EC) No. 1418/2007 of 29 November 2007 (OJ L 316, 4 December 2007), as amended] are not waste,</p> <p><b>c)</b> the transboundary waste movement is not in violation of separate legislation [Regulation (EC) No. 1013/2006 as amended. Regulation (EC) No. 1418/2007 of 29 November 2007 (OJ L 316, 4 December 2007), as amended],</p> <p><b>d)</b> the waste being transported corresponds to the facts according to the enclosed documents,</p> <p><b>e)</b> shipped suspected EEE is not waste and pursuant to Article 88 (2) calls for the submission of documents,</p> <p><b>f)</b> shipped used batteries and accumulators not classified as waste according Article 42 (6), not classified as used batteries and accumulators according Article 42 (6) and calls for the submission of documents pursuant to Article 88a (2)</p> <p><b>(2)</b> During a check, the customs office and Customs Criminal Office are authorised to detain vehicles, command vehicles to stop at a suitable location, inspect documents, the waste being hauled, take and analyse samples and conduct photodocumentation.</p> <p><b>(3)</b> If the customs office or Customs Criminal Office determines that the transboundary waste movement constitutes unlawful transport pursuant to separate legislation [Article 2(35) of Regulation (EC) No. 1013/2006 as amended] or that the transboundary</p>

	<p>waste movement is being conducted in conflict with the permit, it will order the transport to stop and temporarily detain the vehicle.</p> <p><b>(4)</b> If the customs office or Customs Criminal Office detects a violation of separate legislation [Article 2(15) of Regulation (EC) No. 1013/2006 of the European Parliament and of the Council on shipments of waste (OJ L 190, 12 July 2006), as amended. Commission Regulation (EC) No. 1418/2007 concerning the export for recovery of certain waste listed in Annex III or IIIA to Regulation (EC) No. 1013/2006 of the European Parliament and of the Council to certain countries to which the OECD Decision on the control of transboundary movements of wastes does not apply (OJ L 316, 4 December 2007), as amended] during transboundary waste movement, it shall inform the Ministry and inspection without delay. Upon receiving the information, the Ministry or inspection shall decide on further proceedings without delay, in which it may determine a location for the shipper to detain the vehicle until it is returned, recovered or disposed of pursuant to separate legislation [Articles 22 to 25 of Regulation (EC) No. 1013/2006 as amended].</p> <p><b>(5)</b> The costs connected with interrupting the transport and temporarily detailing the vehicle pursuant to paragraph 3, driving the vehicle to the location determined pursuant to paragraph 4, parking the vehicle and potential transloading, storage or other handling of the waste shall be borne by the shipper. The Ministry, inspection and customs authorities or Customs Criminal Office are not accountable for damages caused to the shipper which took place as a result of detaining the vehicle pursuant to paragraphs 3 and 4.</p> <p>According to the Act No. 79/2015 Coll. on waste and on amendments to certain acts as amended, <b>Article 115 (1)</b> an offence is committed by a person who  <b>ac)</b> performs transboundary waste movement in a manner contrary to Part Seven of this Act (§ 84 through 88).</p> <p>According to the Act No. 79/2015 Coll. on waste and on amendments to certain acts as amended, <b>Article 117</b></p> <p><b>(5)</b> The competent state administrative authority for waste management will impose a fine from EUR 2 000 to EUR 250 000 upon legal persons or sole traders who violate obligations pursuant to:</p> <p><b>Article 84 (4)</b> - waste generated in the Slovak Republic is preferably to be disposed in the Slovak Republic</p> <p><b>Article 88 (2)</b> - a holder of used EEE which is the subject of the planned or already performed transboundary movement is obliged to safeguard and store the following documents relating to said used EEE for three years and present them without delay to the competent waste management administrative authority in the event that said used EEE becomes suspicious EEE in the interest of demonstrating that it is not WEEE:</p> <p><b>a)</b> accompanying documents and results of testing or assessment,</p> <p><b>b)</b> applicable transport document pursuant to separate legislation [Convention on the Contract for the International Carriage of Goods by Road (CMR) (Decree of the Minister of Foreign Affairs No. 11/1975) as amended]</p> <p><b>c)</b> declaration on the assumption of responsibility,</p> <p><b>d)</b> a declaration that none of the materials and equipment that are the subject of transboundary transport are waste.</p> <p><b>Article 88 (4)</b> - a holder of used EEE which is the subject of planned or already conducted transboundary transport is obliged to suitably protect said used EEE from damage during transport, handling and unloading, in particular by means of sufficient packaging and cargo securing.</p> <p><b>Article 88a - (2)</b> - a holder of used batteries and accumulators, which are not waste according to Article 42 (6) and which are subject of the planned or already performed transboundary movement is obliged to safeguard:</p> <p><b>a)</b> accompanying documents and results of testing or assessment,</p> <p><b>b)</b> immediate submission of documents pursuant to letter a) on the basis of a request from</p>
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	<p>the competent body of the state administration of waste management,</p> <p>c) carrying out cross-border transport by means of transport which comply with the provisions of the International Agreement on the Transport of Dangerous Goods (ADR) (Implementing Decree of the Minister of Foreign Affairs No. 64/1987) and amended. Convention concerning International Carriage by Rail (COTIF) (Implementing Decree of the Minister of Foreign Affairs No. 8/1985). Amendments to the Regulation for the International Carriage of Hazardous Waste by Rail (RID) (Notification No. 15/2001 Coll.)), If it does not carry out cross-border transport itself, it is obliged to ensure cross-border transport with a carrier authorized under special regulations (For example Act No. 513 / 2009 on railroads and on amendments to certain acts, Act No. 56/2012 on road transport, as amended),</p> <p>d) declaration on the assumption of responsibility,</p> <p>e) a declaration that none of the battery and accumulator that are the subject of transboundary transport are waste,</p> <p><b>Article 88a - (4)</b> A holder of used batteries and accumulators, which are not waste according to Article 42 (6) and which are subject of the planned or already performed transboundary transport is obliged to provide adequate protection against damage to batteries and accumulators during transport, loading and unloading, in particular through adequate packaging and proper storage of the load.</p> <p>(6) the competent state administrative authority for waste management will impose a fine from EUR 4 000 to EUR 350 000 upon legal persons or sole traders who violate obligations pursuant to:</p> <p><b>Article 84 (3)</b> - transboundary movement of waste from another Member State to the Slovak Republic and import of waste from a non-Member State to the Slovak Republic for the purpose of disposal it is prohibited, unless otherwise determined by an international treaty to which the Slovak Republic is party.</p> <p><b>Article 84 (5)</b> - it is prohibited to:</p> <p>a) to organize, mediate or otherwise engage in the transboundary shipment of waste in contravention of this Part of Act on Waste</p> <p>b) import/export/transit to/from/through third countries or import the waste to the territory of the Slovak Republic, transit of waste through the territory of the Slovak Republic or export of waste from the territory of the Slovak Republic contrary to this part of the law,</p> <p>c) carry out transboundary shipment of waste in contravention of the conditions laid down in the consents to the transboundary shipment of waste under this Part of the Act.</p>
Article 50(2) <sup>1</sup>	<p><b>Summary information on the outcome of the inspections carried out pursuant to Article 50(2), including:</b></p> <ul style="list-style-type: none"> <li>- number of inspections, including physical checks, of establishments, undertakings, brokers and dealers, related to shipments of waste: <b>46</b></li> <li>- number of inspections of shipments of waste, including physical checks: <b>36</b></li> <li>- number of supposed illegalities concerning establishments, undertakings, brokers and dealers, related to shipments of waste: <b>10</b></li> <li>- number of supposed illegal shipments ascertained during the inspections: <b>5</b></li> </ul>

<sup>1</sup> The link where the information made publicly available via the internet by Member States in accordance with Article 51(2) can be accessed electronically

	<p>Additional remarks:</p> <p>The link where the information accordance with Article 51(2) are published:  <a href="https://www.enviroportal.sk/spravy/spravy-o-zp/spravy-ek/index">https://www.enviroportal.sk/spravy/spravy-o-zp/spravy-ek/index</a>.</p>
Article 50(2a) <sup>1</sup>	<p><b>Information on the inspection plan(s)</b></p> <p>Number of inspection plan(s) for the entire geographical territory: 1</p> <p>The date of adoption of the inspection plan(s) and the period covered by them: February 24, 2020</p> <p>The latest review date of the inspection plan(s): February 24, 2020</p> <p>The authorities involved in inspections and the cooperation amongst those authorities: Slovak Inspectorate of the Environment, Customs offices,</p> <p>Indicate the persons or bodies to which concerns or irregularities can be reported: Slovak Inspectorate of the Environment, <a href="mailto:jana.kunstekova@sizp.sk">jana.kunstekova@sizp.sk</a></p> <p>The link where the information about plan for the control of transboundary movements of waste for the years 2020-2022 are published:  <a href="https://www.sizp.sk/files/odpady/plan%20kontrol%20cpo%20na%20roky%202020%20-%202022.pdf">https://www.sizp.sk/files/odpady/plan%20kontrol%20cpo%20na%20roky%202020%20-%202022.pdf</a></p>
Article 6	<p><b>Information on a financial guarantee or equivalent insurance covering costs for transport, recovery or disposal and storage of waste, including cases referred to in Articles 22 and 24</b></p> <p>Please provide details on the provisions of national law adopted pursuant to this Article:</p> <p>According to the Act No. 79/2015 Coll. on waste and on amendments to certain acts as amended, <b>Article 87</b> - Financial security</p> <p>(1) The Ministry determines the financial security in the form of financial collateral (hereinafter “collateral”) or insurance policy of equivalent value pursuant to separate legislation [Article 6 of Regulation (EC) No. 1013/2006 as amended] in the case of transboundary movement of waste from the Slovak Republic to another Member State and export of waste from the Slovak Republic to another Member State; the Ministry shall determine additional financial security in cases established in separate legislation [Article 6 of Regulation (EC) No. 1013/2006 as amended].</p> <p>(2) The amount of the collateral shall be determined by the Ministry upon examining the demonstrable costs of transport, recovery or disposal including all preliminary activities necessary and the costs of storage up to 90 days and shall be set at one and a half times said costs. The notifier shall deposit the collateral determined by the Ministry at a bank or at a branch of a foreign bank by means of tying up funds for an indefinite period of time to the benefit of the Ministry. The notifier shall submit the original document verifying that the collateral has been deposited to the Ministry before the decision is issued to grant a permit for the transboundary movement of waste pursuant to paragraph 1.</p> <p>(3) An insurance policy of equivalent value is deemed to be an insurance policy with which the sum of the insurance settlement covers the demonstrable costs of the transport, recovery or disposal including all preliminary activities necessary and the costs of storage for up to 90 days at a sum of one and a half times said costs; the notifier must take out said</p>



	<p>insurance policy before the decision is issued to grant a permit for the transboundary movement of waste pursuant to paragraph 1. The notifier shall submit the original document verifying that the collateral has been deposited to the Ministry before the decision is issued to grant a permit for the transboundary movement of waste pursuant to paragraph 1. The notifier shall submit the original of the insurance document before issuing the decision granting the consent with transboundary movement of waste under paragraph 1.</p> <p>(4) The collateral pursuant to paragraph 2 shall be returned to the notifier (except in cases specified in separate legislation [Article 6 of Regulation (EC) No. 1013/2006 as amended] if the notifier:</p> <p>a) submits a request for the return or cancellation of the collateral and confirmation that the waste has been destroyed or recovered in the form of a certified document on transboundary movement/transport of waste or attaches said confirmation to said document,</p> <p>b) demonstrates that the transboundary movement of waste from the Slovak Republic to another Member State or the exportation of waste from the Slovak Republic to a non-Member State did not take place or will not take place, or</p> <p>c) demonstrates that the transboundary movement of waste from a non-Member State to the Slovak Republic or the importation of waste from a non-Member State to the Slovak Republic did not take place or will not take place.</p>			
Article 55	<p><b>Information on any customs offices designated by Member States for shipments of waste entering and leaving the Community</b></p> <table><tr><td>Has there been any designation? (please tick <i>✓</i> as appropriate)</td><td>Yes <input checked="" type="checkbox"/></td><td>No <input type="checkbox"/></td></tr></table> <p>If yes, please complete Table 6.</p>	Has there been any designation? (please tick <i>✓</i> as appropriate)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
Has there been any designation? (please tick <i>✓</i> as appropriate)	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>		

**Note for completion of the tables:**

*D-codes and R-codes are those referred to in Annexes II A and II B to Directive 2006/12/EC.*

*Waste identification codes are those referred to in Annexes III, IIIA, IIIB, IV and IVA to this Regulation.*

Table 1

INFORMATION ON EXCEPTIONS TO THE IMPLEMENTATION OF THE PRINCIPLES OF PROXIMITY,  
PRIORITY FOR RECOVERY AND SELF-SUFFICIENCY (Article 11(3))

Waste identification (code)	Quantity (kg/litres)	Country of destination (De)/ country of dispatch (Di)	Disposal operation D-code	Referral of the matter to the Commission (Yes/No)

Table 2

## OBJECTIONS TO PLANNED SHIPMENTS OR DISPOSAL (Article 11(1)(g))

Waste identification (code)	Quantity (kg/litres)	Country of transit (T)/ country of dispatch (Di)	Reasons for the objection <i>(please tick ✓ as appropriate)</i>			Facility	
			Article 11(1)(g)(i)	Article 11(1)(g)(ii)	Article 11(1)(g)(iii)	Name <i>(in case of Article 11(1)(g)(ii))</i>	Disposal operation D-code

Table 3

## OBJECTIONS TO PLANNED SHIPMENTS OR RECOVERY (Article 12(1)(c))

Waste identification (code)	Quantity (kg/litres)	Country of destination	Reasons for the objection <i>and details of relevant national legislation</i>	Facility <i>(in the country of destination)</i>	
				Name	Recovery operation R-code

Table 4

## INFORMATION ON DECISIONS BY COMPETENT AUTHORITIES TO ISSUE PRE-CONSENTS (ARTICLE 14)

Competent authority	Recovery facility				Waste identification (code)	Period of validity		Revocation (date)
	Name and No	Address	Recovery operation R-code	Technologies employed		From	To	

Table 5

## INFORMATION ON ILLEGAL SHIPMENTS OF WASTE \* (Article 24 and Article 50(1))

Waste identification (code)	Quantity (kg/litres)	Country of destination (De) and country of dispatch (Di)	Identification of the reason for illegality (possible reference to violated Articles)	Responsible for illegality (please tick ✓ as appropriate)			Measures taken including any penalties imposed
				Notifier	Consignee	Other	
19 12 12	41	Slovakia (De)/Germany (Di)	§ 84 par. 5 (c) of the Waste Act	✓			-
16 02 15*/A1180	19,80	Japan (De)/Slovakia <sup>2</sup> (Di)	§ 84 par. 5 (c) of the Waste Act	✓			55 000,- EUR
19 12 12	21,40	Slovakia (De) /Italy (Di)	§ 84 par. 5 (c) of the Waste Act	✓			-
19 12 12	22,12	Slovakia (De) /Italy (Di)	§ 84 par. 5 (c) of the Waste Act	✓			-
19 12 04	18,3	Slovakia (De) /Italy (Di)	Article 2 (35) letter g) point iii) of Regulation No. 1013/2006			✓	-

\* Information on cases which have been closed during the reporting period.

<sup>2</sup> Waste was stopped: Port of Kopper, Slovenia

Table 6

INFORMATION ON ANY SPECIFIC CUSTOMS OFFICES DESIGNATED BY MEMBER STATES FOR  
SHIPMENTS OF WASTE ENTERING AND LEAVING THE COMMUNITY (Article 55)

Customs office		
Office	Location	Import/export countries controlled
Čierna nad Tisou	Čierna nad Tisou (SK) – Čop (UA) (rail transport)	Import and export (all the countries of the world)
Čierna nad Tisou	Vyšné Nemecké (SK) – Užgorod (UA) (road transport)	Import and export (all the countries of the world)
Čierna nad Tisou	Ubl'a (SK) – Maly Bereznyj (UA) (road transport – up to 3.5 t)	Import and export (all the countries of the world)
Maťovské Vojkovce	Maťovské Vojkovce (SK) – Pavlovo (UA) (rail transport)	Import and export (all the countries of the world)
Bratislava	Bratislava (SK) – air transport	Import and export (international – Schengen airport)
Košice	Košice (SK) – air transport	Import and export (international – Schengen airport)
Poprad	Poprad (SK) – air transport	Import and export (international – Schengen airport)